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Impaired emotional facial expression recognition in alcoholics, opiate dependence subjects, methadone maintained subjects and mixed alcohol-opiate antecedents subjects compared with normal controls

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Abstract

The present study aims to explore whether an impairment in emotional facial expressions (EFE) decoding is specific to alcoholism compared with opiate dependence. An EFE decoding test consisting of 16 photographs of EFE portraying happiness, anger, sadness and disgust was administered to five different groups of 30 subjects each: recently detoxified alcoholics (RA); opiate addicts under methadone maintenance treatment (OM); detoxified opiate addicts (OA); detoxified subjects with both alcohol and opiate dependence antecedents (DAO); and normal controls (NC). Repeated measures analysis of variance using a multivariate approach was conducted on EFE decoding accuracy scores with group as the between-subjects factor. Accuracy scores were significantly lower in RA and DAO than in OM and OA, which had significantly lower scores than NC. Low accuracy scores in RA and DAO confirm previous results indicating that alcoholism is associated with impaired EFE recognition. Results in OM and OA indicate that opiate dependence is also associated with an impaired EFE decoding but less than in alcoholism. Alcohol and opiate chronic consumption could both exercise a deleterious effect on EFE-decoding brain function, alcohol having the most severe impact. Alternatively, EFE-decoding problems could be present before the development of alcohol and opiate dependence, with an additional effect of chronic alcohol consumption on EFE decoding. In this context, EFE-decoding impairment could reflect a more general emotional intelligence deficit in addicted populations. © 2003 Elsevier Ireland Ltd. All rights reserved.

Keywords: Emotion; Face; Alcohol; Drug; Non-verbal

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1. Introduction

Alcoholics are frequently confronted with interpersonal problems even when sober (Nixon et al., 1992), these problems representing a major cause of relapse (Marlatt, 1996). Among other factors, good interpersonal relationships depend on the ability to accurately decode non-verbal signals from communicating partners (Carton et al., 1999). Awareness of the partner's feelings allows one to tune one's reactions and to ensure good interpersonal communication (Patterson, 1999). Therefore, alcoholics' interpersonal problems could be partly linked to impaired processing of emotional information related to social interactions.

Previous evidence supports this hypothesis: the ability to accurately decode affective prosody; a non-linguistic aspect of language that conveys emotion, and attitude during discourse; is altered in alcoholics (Monnot et al., 2001). Furthermore, we found poor decoding of emotional facial expression (EFE) in recently detoxified alcoholics (Philippot et al., 1999; Kornreich et al., 2001a) and in alcoholics abstinent for at least 2 months (Kornreich et al., 2001b).

Inaccurate affect recognition has also been described in other psychiatric disorders such as schizophrenia (Poole et al., 2000; Ross et al., 2001; Streit et al., 2001). This deficit has been proposed to account for poor interpersonal functioning in schizophrenic patients (Poole et al., 2000; Streit et al., 2001), supporting the idea that social interpersonal difficulties may be related to non-verbal decoding dysfunction in certain psychiatric populations.

This is consistent with the association we found between poor EFE-decoding abilities and higher scores of interpersonal difficulties in recently detoxified alcoholics (Kornreich et al., 2002). EFE-decoding problems in alcoholics could result from the toxic effect of alcohol on the brain. Indeed, regions that are thought to be implicated in the EFE-decoding process are also preferentially affected by chronic alcohol consumption (Kornreich et al., 2001b). Alternatively, EFE-decoding difficulties could precede the onset of alcohol dependence and constitute a vulnerability factor.

It is currently not known if addictions other than alcoholism are also associated with impaired EFE decoding. In this study, we compared alcoholics with other substance-abusing groups to answer this question. A methadone maintained group was studied to investigate the influence of continuous opiate use. An opiate detoxified group was used to ascertain whether eventual EFE disturbances persist with abstinence in this population. Finally, we examined a group with both opiate and alcohol dependence antecedents in order to investigate a frequently encountered clinical population and a possible additive deleterious effect of both substances.

2. Method

2.1. Participants

Thirty inpatients (18 men and 12 women) diagnosed with alcohol dependence according to DSM-IV criteria (RA) were recruited in the psychiatric ward of a large University Hospital in Brussels, Belgium, at the end of their detoxification process. They were in their third week of in-patient stay and were not taking any psychotropic medication at the time of assessment.

Ninety inpatients diagnosed with actual or past opiate dependence according to DSM-IV criteria were recruited either in the same hospital or at a long-stay post-detoxification treatment center (Trempline, Châtelet, Belgium) characterized by a maximum possible stay of 18 months. Thirty of them (25 men and 5 women) were under methadone maintenance treatment (OM) and 30 others (22 men and 8 women) had been detoxified from opiates for 2 days to 15 months (mean = 3.80 months and S.D. = 4.43 months) (OA). These 60 participants had never met DSM-IV criteria for alcohol dependence. Finally, the 30 remaining participants (26 men and 4 women) were diagnosed with both opiate addiction and alcohol dependence antecedents (DAO). They had taken no alcohol for at least 3 weeks. Four of them were still under methadone maintenance treatment. The others had not taken opiates for at least 2 days (mean = 11.59 months, S.D. = 25.84 months).

Abstinence for all study participants was ensured both by staff's clinical supervision and by frequent urine and/or alcohol breath test.

In order not to test demented patients, all inpatients whether alcoholics or opiate addicts were screened for overt cognitive dysfunction through the clinical observation of the staff (nursing observation but also medical and psychological evaluation) regarding their ability to function during their hospitalization (ability to find their way, to be oriented in time, to express themselves properly and to retain information).

The non-patient control group (NC) (16 men and 14 women) consisted of 30 volunteers with neither a psychiatric record nor substance abuse. The NC group was recruited among the hospital staff employees and in the investigators' social environment.

All groups were further assessed with the Beck Depression Inventory (BDI) (Beck et al., 1988) and historical variables as control measures. The BDI was used to control the possible effect of depression on EFE-decoding accuracy.

Historical variables were recorded for all inpatients: age; gender; educational level; familial history of addiction (alcoholism or drugs); daily alcohol consumption; number of previous inpatients detoxification stays; length of disease; history of substances used (alcohol, tobacco, cannabis, benzodiazepines, heroine, methadone, cocaine, amphetamines, hallucinogens, inhalants); HIV seropositivity; head injury antecedents; and overdose history (i.e. unconscious states following massive drug ingestion needing medical intervention). Education was coded as follows: 1 = post-secondary school training; 2 = completion of secondary school or equivalent; and 3 = completion of the first 3 years of secondary school or equivalent.

Presence of at least one first-degree relative (father and/or mother) with alcohol dependence or opiate dependence was considered as evidence of a positive familial history. Antecedents for substance use are recorded when participants report regular use. A description of these samples is given in Table 1.

After complete description of the study to the subjects, written informed consent was obtained.

The ULB (Université Libre de Bruxelles) ethical board approved this research project.

2.2. Procedure

A set of emotional facial stimuli constructed by Hess and Blairy (1995) was used. These authors selected facial expressions of happiness, anger, sadness, disgust and fear performed by two male and two female Caucasian actors from a series of standardized EFE (Matsumoto and Ekman, 1988). A series of intermediate expressions differing in emotional intensity level by 10% steps was constructed based on the neutral face (0% of emotional intensity level) and the full-blown emotional facial expression (100% of emotional intensity level) of the same actor using the computer program Morph 1.0. From this series, a set of two (intensity levels: 30 and 70%) \times 4 (emotions: happiness, anger, disgust and sadness) \times 2 (actors) stimuli constituted the stimulus material. These 16 stimuli were presented in a random order on an Apple Macintosh PowerBook 1800.

We limited the number of expressions presented in order not to overwhelm the subjects. The 30 and 70% intensity levels were chosen as they are more frequently encountered in real-life situations than the full-blown expressions. After completion of the decoding task, participants filled in the BDI (Beck et al., 1988) and the historical questionnaire.

2.3. Dependent measures

2.3.1. Facial expression decoding

Each of the 16 expression exemplars was rated by participants on seven-point intensity scales. Eight different emotions were presented as choices (to expand upon the four target emotions): happiness, sadness, fear, anger, disgust, surprise, shame and contempt. The subject ranked each of the 16 facial stimuli on each of the 8 intensity scales that ranged from 1 = 'not at all' to 7 = 'very intensely' (see example on Fig. 1). The image of the face remained on the screen until all scales were completed. After completion of emotion rating of each expression, participants also rated the task's difficulty on a seven-point scale from 1 corresponding to 'very easy' to 7 corresponding to 'very diffi-

Table 1
Description of the groups

	NC (<i>n</i> =30)	RA (<i>n</i> =30)	OM (<i>n</i> =30)	OA (<i>n</i> =30)	DAO (<i>n</i> =30)
Age	m=37.17 (S.D.=10.46)	m=43.93 (S.D.=9.75)	m=31.53 (S.D.=5.06)	m=28.93 (S.D.=5.42)	m=31.00 (S.D.=7.70)
Education (level 1/level 2/level 3)	29/0/1	8/17/5	6/22/2	2/27/1	2/26/2
Familial history of alcohol	5	14	9	16	19
Familial history of drugs	0	0	1	2	5
Beck Depression Inventory score	m=2.70 (S.D.=2.63)	m=10.80 (S.D.=7.64)	m=11.33 (S.D.=7.42)	m=9.80 (S.D.=6.05)	m=13.10 (S.D.=7.29)
Number of drinks/day (preceding detoxification for RA, OM, OA and DAO)	m=1.22 (S.D.=1.22)	m=19.73 (S.D.=9.90)	m=0.12 (S.D.=0.45)	m=0.20 (S.D.=0.61)	m=29.37 (S.D.=17.53)
Number of inpatient stays	–	m=2.50 (S.D.=1.63)	m=2.33 (S.D.=2.22)	m=4.08 (S.D.=5.36)	m=3.79 (S.D.=6.01)
Alcoholism duration (in months)	–	m=162.00 (S.D.=120.93)	–	–	m=102.00 (S.D.=67.25)
Opiate addiction duration (in months)	–	–	m=111.80 (S.D.=73.88)	m=94.76 (S.D.=69.92)	m=86.67 (S.D.=57.00)
Alcoholism antecedents	0	30	0	0	30
Tobacco antecedents	16	28	29	29	30
Cannabis antecedents	6	3	22	29	27
Benzodiazepines antecedents	1	30	30	30	30
Heroin antecedents	0	0	30	29	30
Methadone antecedents (or present for all OM and 4 DAO)	0	0	30	28	24
Cocaine antecedents	0	0	25	28	27
Amphetamines antecedents	0	0	18	19	20
Hallucinogens antecedents	0	1	6	16	19
Inhalants antecedents	0	2	1	4	6
HIV sero-positivity	0	0	0	0	0
Head injury	0	0	3	1	4
Overdose	–	–	6	12	20

Note: NC=Normal controls; RA=Recently, detoxified alcoholics; OM=Opiate addicts under methadone maintenance treatment; OA=Opiate addicts detoxified; DAO=Detoxified subjects with both alcohol and opiate dependence antecedents.

cult'. This additional measure was used to evaluate the subjects' awareness of eventual deficits in decoding EFE.

Decoding accuracy was defined as the observer's ability to correctly infer the portrayed emotion. An expression was considered as accurately identified when the emotion scale rated with the highest intensity score corresponded to the target emotion. An accurately identified expression received a score of 1, and a misidentified expression received a score of 0.

2.4. Data analysis

All statistical analyses were conducted with the Statistical Package for the Social Sciences (SPSS), version 10.0.7a for Macintosh. All statistical tests were two-tailed. Unless otherwise specified, $P < 0.05$ was assumed to define statistical significance. Results of continuous variables are expressed as means and standard deviations. Emotional accuracy scores and emotional difficulty scores showed a normal distribution and, therefore, were treated with parametric tests.

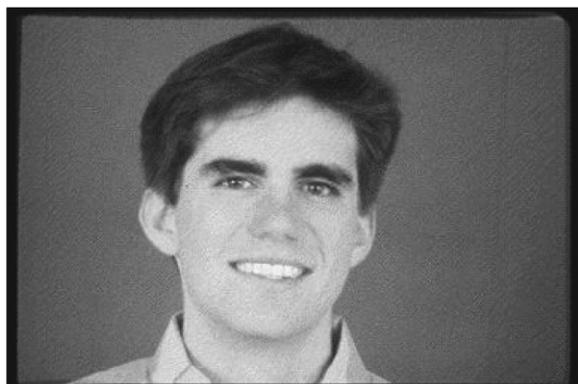


Fig. 1. Example of happiness 70%.

Correlations for preliminary analyses used the Pearson product–moment correlation coefficient (r). Moreover, between-group comparisons and within-group comparisons were conducted by one-way analysis of variance (ANOVA) for continuous variables or chi-square statistical test for categorical variables.

Statistical differences among the five different groups on (1) the emotional test accuracy scores and (2) the emotional test difficulty scores were evaluated by repeated measure analyses of variance using a multivariate approach with Emotion (happiness, anger, sadness and disgust) and Intensity (30% and 70%) as within-subjects factors, and Group (RA, OM, OA, DAO and NC) as the between-subjects factor. However, in the context of the present article, only main effects or interactions involving Group are of interest, and we

shall limit our presentation and discussion to these results. Post-hoc comparisons were conducted by using one-way ANOVA.

3. Results

3.1. Preliminary analyses

No significant correlation was found between depression scores and age, on the one hand, and decoding accuracy scores, on the other hand.

No significant correlation was found between the length of consumption and length of abstinence for the different substances used and performance in the emotional test. Therefore, it was not necessary to control their effects (e.g. with analysis of covariance).

Likewise, no significant main effect or interaction of gender, educational level, overdose, head injury antecedents, or familial antecedents was observed. Therefore, all subsequent analyses were collapsed across these factors.

3.2. Decoding accuracy

In order to assess whether alcoholics showed a difference in the ability to decode EFE compared to the other groups, a repeated measures analysis of variance using a multivariate approach was conducted.

As seen in Table 2, the results revealed a significant main effect of Group. Accuracy scores as a function of groups are displayed in Fig. 2.

Table 2
 F -values for MANOVA

Sources	d.f.	F -values	Power	η^2
Group	4145	7.512***	0.996	0.172
Emotion	3143	125.392***	1.000	0.725
Intensity	1145	281.407***	1.000	0.660
Emotion \times Group	12 435	1.113	0.647	0.030
Intensity \times Group	4145	4.600**	0.941	0.113
Emotion \times Intensity	3143	3.827**	0.811	0.074
Group \times Emotion \times Intensity	12 435	1.386	0.766	0.037

Note: *** $P < 0.001$; ** $P < 0.01$.

NC = Normal controls; RA = Recently, detoxified alcoholics; OM = Opiate addicts under methadone maintenance treatment; OA = Opiate addicts detoxified; DAO = Detoxified subjects with both alcohol and opiate dependence antecedents.

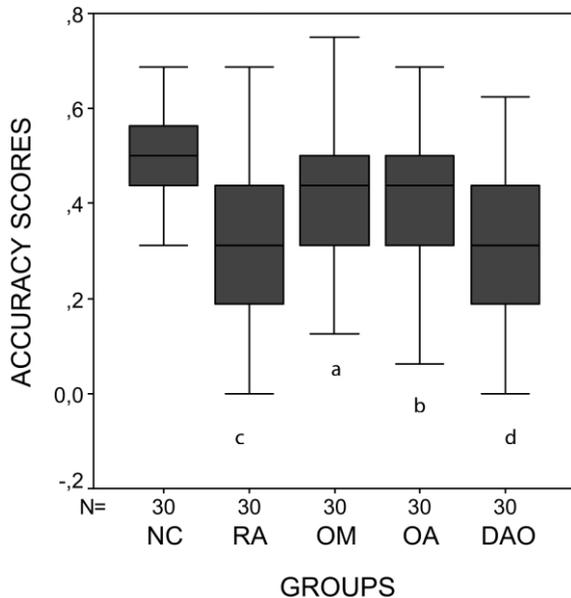


Fig. 2. Accuracy scores (averaged for 30 and 70% intensity levels and for emotions) as a function of Groups.

Among the interactions involving Group, none accounted for a large percentage of the variance (as indicated by η^2). Therefore, we focused the analysis on the main effect of Group and not the subsequent second- and third-order interactions that explain less of the variance.

Post hoc analysis revealed that compared to NC (mean=0.49, S.D.=0.12), all other groups were less accurate for the decoding of EFE. However, among the addicted groups, OM (mean=0.41, S.D.=0.16) and OA (mean=0.40; S.D.=0.16) had comparable results and were significantly more accurate than RA (mean=0.33, S.D.=0.15) and DAO (mean=0.30, S.D.=0.16). These latter two groups did not differ. Post-hoc analyses are shown in Table 3.

3.3. Difficulty

Repeated measures analysis of variance using a multivariate approach was conducted on difficulty ratings. The results revealed a significant main effect of group: $F=3149$; d.f.=4145; $P<0.05$; $\eta^2=0.080$; Power=0.810 (RA: mean=3.325, S.D.=1.06; OM: mean=3.096, S.D.=1.12; OA:

mean=2.579, S.D.=0.90; DAO: mean=3.471, S.D.=1.19; NC: mean=3.133, S.D.=0.91).

No interactions involving Group reached significance.

Post-hoc analysis revealed that OA evaluate the task as easier than NC ($F=5.604$; d.f.=1,58; $P<0.05$; $\eta^2=0.088$), RA ($F=8.607$; d.f.=1,58; $P<0.01$; $\eta^2=0.129$) and DAO ($F=10.665$; d.f.=1,58; $P<0.01$; $\eta^2=0.155$).

Other post-hoc comparisons between groups did not reach significance.

4. Discussion

We found that accuracy scores are significantly lower in RA and DAO vs. NC; OM and OA display scores between DAO and RA, on the one hand, and NC, on the other hand.

Difficulty scores show that the groups of patients are not aware of their deficits as reflected by the fact that they judge the task to be as difficult or even easier than NC.

Accuracy scores in patients displaying alcohol dependence antecedents, whether recently detoxified as for RA or with varying lengths of abstinence as in DAO, are in accordance with our previous studies showing EFE-decoding difficulties in recently detoxified alcoholics and in alcoholics abstinent for at least 2 months (Philippot et al., 1999; Kornreich et al., 2001a,b).

Table 3

Post-hoc comparisons for accuracy between groups

	F ; d.f. = 1,58	η^2	Power
NC vs. RA	$F=23.189^{***}$	0.286	0.997
NC vs. OM	$F=5.569^*$	0.088	0.641
NC vs. OA	$F=5.995^*$	0.094	0.673
NC vs. DAO	$F=26.838^{***}$	0.316	0.999
RA vs. OM	$F=4.058^*$	0.065	0.508
RA vs. OA	$F=3.940^{\S}$	0.064	0.497
RA vs. DAO	$F=0.324$	0.006	0.087
OM vs. OA	$F=0.002$	0.000	0.050
OM vs. DAO	$F=6.139^*$	0.096	0.683
OA vs. DAO	$F=6.019^*$	0.094	0.675

Note: $^{***}P<0.001$; $^{**}P<0.01$; $^*P<0.05$; $^{\S}P=0.052$.

NC=Normal controls; RA=Recently, detoxified alcoholics; OM=Opiate addicts under methadone maintenance treatment; OA=Opiate addicts detoxified; DAO=Detoxified subjects with both alcohol and opiate dependence antecedents.

OM and OA display intermediate results between RA and DAO on the one hand and NC on the other hand. This pattern could indicate that opiates have a deleterious impact on brain functions necessary to process EFE but less than alcohol. Alternatively, EFE-decoding difficulties could precede addictions in general and be worsened by chronic alcohol consumption.

OM and OA display similar EFE accuracy scores. The length of abstinence in OA does not influence these scores. This suggests either that opiates per se do not have an impact on EFE-decoding function or that deleterious effects persist well after opiate discontinuation.

Psychomotor and cognitive functions do not appear to be impaired by the chronic use of morphine, codeine, heroin or methadone (Lombardo et al., 1976; Rounsaville et al., 1982; Zacny, 1995; Specka et al., 2000). This contrasts with the well-known deleterious effects on cognitive functioning of chronic alcohol consumption (Parsons, 1998) or of poly-substance abuse in patients using, among other substances, alcohol (Selby and Azrin, 1998).

When methadone maintenance patients exhibit poorer performances on several neuropsychological domains, e.g. information processing, attention, problem solving, and verbal and visual memory, other factors seem to account for most of the results observed (Darke et al., 2000). These factors are mainly a history of alcohol dependence, repeated exposure to overdose and traumatic head injury antecedents (Darke et al., 2000). HIV seropositivity in drug abusers has also been implicated as a risk factor to develop cognitive difficulties in this population (Silberstein et al., 1987, 1993; Avants et al., 1997).

However, neither heroin nor methadone seems to be neurotoxic per se (Darke et al., 2000). Our population was HIV seronegative. The incidence of traumatic head injuries was low and did not influence the results. Overdose antecedents were more common but had no impact on EFE test results.

If EFE-decoding impairments in OM and OA are neither due to toxic effects of opiate chronic abuse nor to commonly recognized factors of cognitive impairment in this population (i.e. trau-

matic head injury, overdose and HIV seropositivity), they may have been present before the addiction began.

Emotional disturbances and communication difficulties have been described in populations at risk to develop an addiction such as children of drug-abusing parents (Brown and Zuckerman, 1991) or children of alcoholics (Drake and Vaillant, 1988; Sher et al., 1991; Jones and Houts, 1992; Segrin and Menees, 1996). Genetic factors may influence the development of addiction (Cloninger et al., 1981; Kendler et al., 2000). Although difficult to prove (Nelson and De Haan, 1997), there are also some indications (Emde et al., 1992; Davis et al., 1994) that genetic factors could influence the development of EFE-decoding skills. Thus, it is conceivable that genetic factors could influence, either separately or together, the development of an addiction and the ability to read non-verbal signals.

However, disturbed social and emotional interactions between alcoholic or drug-abusing parents and their children could also account for the emotional difficulties encountered in these specific populations (Guo et al., 1994). Interestingly, the same kind of mechanism, i.e. exposure to early inappropriate emotional exchanges has been proposed to explain the development of alexithymia, a sort of emotional blindness commonly found in addicted patients (Haviland et al., 1988; Handelsman et al., 2000). Alexithymia, a personality construct defined as a lack of awareness of one's own emotions has been suggested to be a risk factor to develop substance abuse (Finn et al., 1987; Kauhanen et al., 1992; Helmers and Mente, 1999) and to promote relapse in abstinent patients (Loas et al., 1997).

As there is clinical and empirical evidence that individuals with high degrees of alexithymia experience difficulties in accurately identifying emotions in the facial expressions of others (Parker et al., 1993; Lane et al., 1996), we would suggest that the impaired EFE-decoding abilities we observed might be part of a more general emotional intelligence deficit in alcoholics and opiate addicts. The toxic effect of chronic alcohol consumption or of combined alcohol and drug use on brain regions implicated in the decoding of EFE

could be responsible for the more severe EFE decoding disturbances seen in RA and DAO.

Our findings should be viewed in the context of the study's methodological limitations. It was not possible to match the subjects in the different groups for age or education level. Alcoholics are usually older than opiate addicts and matching them could thus create a bias, isolating particular subpopulations. However, neither age nor education level had an influence on EFE-decoding performance in our previous studies (Philippot et al., 1999; Kornreich et al., 2001a,b, 2002), nor has it in this one.

Gender could be an issue, women usually being credited with better non-verbal decoding performances than men (Hall, 1984). However, we did not find a gender effect on accuracy scores with the EFE test we used, neither in this study nor in previous studies (Philippot et al., 1999; Kornreich et al., 2001a,b, 2002). It must be noted that the small samples preclude any firm conclusion regarding the respective susceptibility of male and female patients to have impaired EFE processing.

We did not use other cognitive measures as control measures. However, our interest here was to compare pathological groups on EFE function. Other cognitive variables could covariate with EFE accuracy scores or serve as mediating factors, but this would not change the conclusions of our study that accuracy deficits are present in all patient groups and more pronounced in the groups characterized by chronic alcohol consumption antecedents.

Group heterogeneity regarding the substances used and abstinence duration seems a limitation very difficult to overcome: isolating groups with identical characteristics would require considerable populations, on the one hand, and would lead to very selective sub-populations, on the other hand, engendering difficulties to generalize the results obtained to more ecological conditions.

We did not use an alcohol group with long-term abstinence in this study. However, this was done previously (Kornreich et al., 2001b) and revealed that even if some improvement is observed, there is still significant EFE decoding impairment after long-term abstinence.

In conclusion, EFE decoding impairments are present both in opiate addicts and alcoholics. These disturbances could be due to the toxic effect of chronic substance consumption. They could also be part of a more general emotional intelligence deficit that is present early on and constitutes a risk factor to develop an addiction through its detrimental effects on interpersonal relationships. EFE-decoding impairments are more severe in groups with chronic alcohol consumption antecedents, possibly reflecting an additional deleterious effect of this substance on non-verbal decoding abilities.

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